SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	ROVAL
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1. Name and Address of Reporting Person [*] KINGSLEY ALFRED D				er Name and Ticke <u>FIME INC</u> [I	0	ymbol		tionship of Reporti all applicable) Director	rting Person(s) to Issuer 10% Owner		
(Last) 150 E. 57TH ST	(First) REET	(Middle)	3. Date 07/01/	of Earliest Transac /2016	ction (Month/E	pay/Year)	Х	Officer (give title below) See I	Othe belov Remarks	r (specify v)	
(Street) NEW YORK (City)	NY (State)	10022 (Zip)	4. lf An	nendment, Date of (Original Filed	(Month/Day/Year)	6. Indiv Line) X	,			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Dav/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)	and	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	

	((Month/Day/Year)	8)		· /			Owned Following	(I) (Instr. 4)	Ownership	
		c	Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Shares, no par value								5,931,555 ⁽¹⁾	D		
Common Shares, no par value								1,451,105	Ι	By Greenbelt Corp.	
Common Shares, no par value								375,351	I	By Greenway Partners, LP	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puis, cais, warants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Numl of Derivati Securiti Acquire (A) or Dispose of (D) (I 3, 4 and	ive ies ed ed nstr.	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option to Purchase Common Shares	\$2.72	07/01/2016		A		50,000		(2)	06/30/2021	Common Shares	50,000	\$0.00	50,000	D	
Option to Purchase Common Shares	\$3.57							(3)	06/30/2020	Common Shares	50,000		50,000	D	
Option to Purchase Common Shares	\$3.11							(4)	06/30/2019	Common Shares	50,000		50,000	D	
Option to Purchase Common Shares	\$4.13							(5)	06/30/2018	Common Shares	50,000		50,000	D	
Option to Purchase Common Shares	\$4.6							(6)	06/30/2017	Common Shares	50,000		50,000	D	

Explanation of Responses:

1. Does not include shares that Mr. Kingsley may acquire through the exercise of certain options.

2. Will become exercisable in four equal quarterly installments based upon continued service on the board of directors.

3. Became exercisable in four equal quarterly installments after the date of grant on July 1, 2015.

4. Became exercisable in four equal quarterly installments after the date of grant on July 1, 2014.

5. Became exercisable in four equal quarterly installments after the date of grant on July 1, 2013.

6. Became exercisable in four equal quarterly installments after the date of grant on July 1, 2012.

Remarks:

Mr. Kingsley is Chairman of certain BioTime subsidiaries.

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.